



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No:

Applicant(s): Marianne BRUGGEMANN Confirmation No.: 3627  
Appl. No.: 09/734,613 Examiner: Anne Marie Sabrina Wehbe  
Filing Date: December 13, 2000 Group Art Unit: 1632  
Title: MURINE EXPRESSION OF A HUMAN IGA LAMBDA LOCUS

**CLAIM FOR CONVENTION PRIORITY**

Commissioner for Patents  
USPTO  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The benefit of the filing date of the following prior foreign application filed in the following foreign country is hereby requested, and the right of priority provided in 35 U.S.C. §119 is hereby claimed.

In support of this claim, filed herewith is a certified copy of said original foreign application:

**GB9823930.4 filed November 3, 1998**

Respectfully submitted,

John P. Isacson  
Reg. No. 33,715  
Customer No. 26633

September 27, 2004  
Date

HELLER EHRLICH WHITE & MCAULIFFE  
1666 K Street, N.W., Suite 300  
Washington, DC 20006  
Phone: (202) 912-2000  
Fax: (202) 912-2020

**THIS PAGE BLANK (USPTO)**



09/734 613



INVESTOR IN PEOPLE

The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1985 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in the certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 16 September 2004

CERTIFIED COPY OF  
PRIORITY DOCUMENT

BEST AVAILABLE COPY

THIS PAGE BLANK (USPTO)

BEST AVAILABLE COPY

- 3 NOV 1998

RECEIVED BY POST

The Patent Office

1/77

Patents Act 1977  
Rule 16)**Request for grant of a patent**

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form.)

03NOV98 E401719-1 D01631

P01/7700 0.00 - 9823930.4

The Patent Office

Cardiff Road  
Newport  
Gwent NP9 1RH

Fee: £0

1. Your reference

40041/JMD

2. Patent application number

(The Patent Office will fill in this part)

**9823930.4**

- 3 NOV 1998

3. Full name, address and postcode of the or of each applicant (*underline all surnames*)BABRAHAM INSTITUTE  
Babraham Hall,  
Cambridge CB2 4AT.

Patents ADP number (if you know it)

7331044001  
United Kingdom

4. Title of the invention

Murine Expression of Human Igλ Locus

5. Full name, address and postcode in the United Kingdom to which all correspondence relating to this form and translation should be sent

Reddie & Grose  
16 Theobalds Road  
LONDON  
WC1X 8PL

91001

Patents ADP number (if you know it)

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application  
(If you know it)Date of filing  
(day/month/year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body.
- See note (d)*

# Patents Form 1/77

Enter the number of sheets for any of the following items you are filing with this form.  
Do not count copies of the same document.

Continuation sheets of this form

Description 25

Claim(s) 0

Abstract 0

Drawing(s) 5 + 5

*DS*

- 
10. If you are also filing any of the following, state how many against each item.

Priority documents 0

Translations of priority documents 0

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*) 0

Request for preliminary examination and search (*Patents Form 9/77*) 0

Request for substantive examination (*Patents Form 10/77*) 0

Any other documents  
(please specify)

- 
11. I/We request the grant of a patent on the basis of this application.

Signature

Date

*Reddie & Grose*

2 November 1998

- 
12. Name and daytime telephone number of person to contact in the United Kingdom

J M DAVIES

01223-360350

## Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or such direction has been revoked.

## Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

## MURINE EXPRESSION OF HUMAN Igλ LOCUS

### INTRODUCTION

The light chain component of the Ig protein is encoded by two separate loci, Igκ and Igλ. The proportion of antibodies containing κ or λ light chains varies considerably between different species (1-3), eg in mice the κ:λ ratio is 95:5, compared to 60:40 in humans. Two models have evolved to account for this apparent bias in the expression of κ in the mouse. Firstly, from the observation that murine Igλ-producing myelomas have rearranged κ light chain genes, and that Igκ-producing cells have the λ light chain locus in germline configuration, it was proposed that κ rearrangement must occur before λ rearrangement can commence (4, 5). In the human situation, however, while almost all λ producing cells have both κ alleles rearranged, the proportion of κ and λ producing cells are similar (4). The second proposal is that κ and λ loci are both available for rearrangement at the same time, but the mouse κ locus is more efficient at engaging the rearrangement process (reviewed in 6). The occasional finding of cells with rearranged λ and the κ locus in germline configuration may support this (5, 7, 8). The influence of antigen selection on the biased κ:λ ratio is discounted by the finding that the ratio is similar in fetal liver and in cells that have not encountered antigen (9-13).

Light chain V-J rearrangement occurs at the transition from pre B-II to immature B cells, where the surrogate light chain associated with membrane Igμ is replaced by κ or λ light chain (14). Although the timing of light chain rearrangement is essentially defined, the processes which activate light chain locus rearrangement are not fully understood. From locus silencing experiments, it became clear that κ

rearrangement is not a prerequisite for  $\lambda$  recombination (15). Indeed,  $\kappa$  and  $\lambda$  rearrangements are independent events (16), the activation of which may be affected by differences in the strength of the respective enhancers. A region believed to be important in the regulation of the accessibility of the human  $\lambda$  locus has been identified about 10 Kb downstream of C $\lambda$ 7 (17, 18). Functional comparisons in reporter gene assays identified a core enhancer region that is flanked by elements which can drastically reduce enhancer activity in pre-B cells (17). Although transfection studies showed that the  $\kappa$  and  $\lambda$  3' enhancer regions appear to be functionally equivalent, other (functional) sequences flanking the core enhancer motifs are remarkably dissimilar. Targeted deletion of the  $\kappa$  3' enhancer in transgenic mice showed that this region is not essential for  $\kappa$  locus rearrangement and expression but is required to establish the  $\kappa:\lambda$  ratio (19).

The human Ig $\lambda$  locus on chromosome 22q11.2 is 1.1 Mb in size and typically contains 70 V $\lambda$  genes and 7 J $\lambda$ -C $\lambda$  gene segments (20, 21 and ref. therein). About half of the V $\lambda$  genes are regarded as functional and J $\lambda$ -C $\lambda$ 1, 2, 3 and 7 are active. The V $\lambda$  genes are organised in three clusters which contain distinct V gene family groups. There are 10 V $\lambda$  gene families, with the largest (V $\lambda$ III) being represented by 23 members. In human peripheral blood lymphocytes, the most J-C proximal V gene segments in cluster A, from families I, II and III, are preferentially rearranged, with the contribution of the 2a2 V $\lambda$  segment (2-14 in the new nomenclature [22]) being unusually high (23). All  $\lambda$  gene segments have the same polarity which allows deletional rearrangement (24). Sequence diversity of the Ig $\lambda$  repertoire is

provided mainly by V $\lambda$ -J $\lambda$  combination. Additional CDR3 diversity due to N (non-encoded)- or P (palindromic)-nucleotide additions at the V to J junction, although not as extensive as seen in IgH rearrangement, seems to be much more frequently used in humans than in mice (25, 26, 27, 28), where the TdT (terminal deoxyribonucleotide transferase) activity is down-regulated at the time of light chain rearrangement.

Here we have introduced a 410 Kb YAC, which contains most of the V $\lambda$  genes of cluster A and all the J $\lambda$ -C $\lambda$  segments in germline configuration, into mice which have one or both endogenous Ig $\kappa$  alleles disrupted. The translocus shows high expression in both backgrounds, and is able to compete equally with the endogenous mouse  $\kappa$  locus.

## SUMMARY OF THE INVENTION

Transgenic mice were created carrying a 380 Kb region of the human immunoglobulin (Ig)  $\lambda$  light (L) chain locus in germline configuration. The introduced translocus on a yeast artificial chromosome (YAC) accommodates the most proximal V (variable gene)  $\lambda$  cluster - with 15  $V\lambda$  genes that contribute to over 60% of  $\lambda$  light chains in man - and all  $J\lambda-C\lambda$  segments with the 3' region including the downstream enhancer. The Hulg $\lambda$ YAC mice were bred with animals in which mouse  $\kappa$  L chain production was silenced by gene targeting. Human Ig $\lambda$  expression in mouse  $\kappa^{-/-}$  animals was dominant with up to 84% of B220 $^{+}$  B-cells expressing surface human L chain. In serum human Ig $\lambda$  was up to 1.9 mg/ml, whilst mouse L chain levels were reduced to 0.2 mg/ml. However, a striking result was that in heterozygous  $\kappa^{+/-}$  and normal  $\kappa^{+/+}$  translocus mice both human  $\lambda$  and mouse  $\kappa$  were expressed at similar high levels (38% and 45% of cells, respectively). Interestingly, in Hulg $\lambda$ YAC/Mok mice human  $\lambda$  is predominantly expressed at the pre B-cell stage with subsequent upregulation of cells expressing mouse L chain at the immature B-cell stage. The human  $V\lambda$  genes hypermutate readily but show restricted P or N sequence variability at the V-J junction. The finding that human  $\lambda$  genes can be utilised with similar efficiency in mouse and man implies that L chain expression is critically dependent on the configuration of the locus. Thus, the transfer of large transloci may circumvent many expression problems encountered with small gene constructs introduced into cells and animals, with the advantage that some silencing approaches such as exploiting human antibody production may prove unnecessary.

Thus, according to the invention, transgenic mice have been produced in which the proportion of  $\kappa$  and  $\lambda$  light chains resembles that found in humans. Mice of the invention can be characterised by exhibiting relative proportions of  $\geq 60\%$   $\kappa$  light chains and  $\geq 40\%$   $\lambda$  light chains. Such a  $\kappa:\lambda$  ratio of 60:40 or less (i.e. even higher  $\lambda$  and lower  $\kappa$  levels) is remarkable in view of the normal mouse ratio of about 95:5.

### Figure legends

Figure 1. The Hulg $\lambda$ YAC accommodates a 380 Kb region of the human  $\lambda$  light chain locus in authentic configuration with all V $\lambda$  genes of cluster A (21, 40, 54), the J $\lambda$ -C $\lambda$  segments and the 3' enhancer (17). Black boxes represent functional V $\lambda$  genes (3-27, 3-25, 2-23, 3-22, 3-21, 3-19, 2-18, 3-16, 2-14, 2-11, 3-10, 3-9, 2-8, 4-3, 3-1) and white boxes show V $\lambda$  genes with open reading frames (2-33, 3-32, 3-12) which have not been identified in productive rearrangements of human lymphocytes (40). Pseudogenes are not shown. Black triangles indicate rearranged V genes found by RT-PCR in spleen and sorted Peyer's patch cells from Hulg $\lambda$  mice. The unique NotI restriction site is indicated. Probes to assess the integrity of the Hulg $\lambda$ YAC, L A (left arm) and C $\lambda$ 2+3 are indicated.

Figure 2. Southern blot analysis of Hulg $\lambda$ YAC Integration. (Left) NotI digested testis DNA resolved on PFGE and hybridized with the C $\lambda$ 2+3 probe. The same size band was obtained with the left arm probe (not shown). The majority of the hybridization signal remains in the compression band (CB) presumably due to protection of the NotI site by methylation. (Right) EcoRI/HindIII digests hybridized with the C $\lambda$ 2+3 probe. Lane 1: Hulg $\lambda$ YAC ES cell DNA from a protoplast fusion clone; lane 2: normal ES cell DNA; lane 3: human genomic DNA (XZ); lane 4: human KB carcinoma (55) DNA; lane 5 and 6: tail DNA from 2 Hulg $\lambda$ YAC germline transmission mice. Note that the human DNA shows an additional 5.2 Kb band which represents an allelic variation (56).

Figure 3. Human Ig $\lambda$ , mouse Ig $\kappa$  and mouse Ig $\lambda$  serum titers for Hu $\lambda$ YAC/Mok $^{+/-}$  and Hu $\lambda$ YAC/Mok $^{-/-}$  mice (5-6 mice per group kept in germfree conditions and 5 human sera). Antibody levels presented were obtained from 2-3 months old animals but the serum titers from older mice were similar. From the five Hu $\lambda$ YAC/Mok $^{+/-}$  mice tested 3 animals had somewhat higher mouse Ig $\kappa$  titers than human Ig $\lambda$  whilst 2 animals showed higher human Ig $\lambda$  levels. The controls show light chain distribution in human and normal mouse serum. Total Ig levels are in good agreement with the sum of individual titers (not shown).

Figure 4. Flow cytometric analysis of light chain expression in the developing B-cell. A)  $\kappa$  and  $\lambda$  light chain distribution of CD19 $^+$  human peripheral lymphocytes and B220 $^+$  mouse spleen cells from Hu $\lambda$ YAC/Mok $^{+/-}$  and Hu $\lambda$ YAC/Mok $^{-/-}$  mice. B) Mouse Ig $\kappa$  and human Ig $\lambda$  light chain distribution in gated populations of CD19 $^+$ /c-kit $^+$  and CD19 $^+$ /CD25 $^+$  bone marrow cells.

Figure 5. Human V $\lambda$  sequences from sorted B220 $^+$  and PNA $^+$  Peyer's patches B-cells from HuIg $\lambda^+$ YAC/ $\kappa^{+/-}$  mice.

## MATERIALS AND METHODS

**The Hulg $\lambda$ YAC, introduction into embryonic stem cells and derivation of transgenic mice.** The 410 Kb Hulg $\lambda$ YAC, accommodating a 380 Kb region (V $\lambda$ -JC $\lambda$ ) of the human  $\lambda$  light chain locus with V, J and C genes in germline configuration, was constructed as described (29). To allow selection two copies of the neomycin resistance gene (NEO $r$ ) were site-specifically integrated into the ampicillin gene on the left (centromeric) YAC arm. YAC-containing yeast cells were fused with HM-1 embryonic stem (ES) cells, a kind gift from D. Melton, as described (30) and G418 resistant colonies were picked and analysed 2-3 weeks after protoplast fusion. ES cells containing a complete Hulg $\lambda$ YAC copy, confirmed by Southern hybridization, were used for blastocyst injection to produce chimeric

animals (31). Breeding of chimeric animals with Balb/c mice resulted in germline transmission. Further breeding with  $\kappa^{-/-}$  mice (32) established the lines for analysis.

**Southern blot analysis.** Either conventional DNA was obtained (33) or high molecular weight DNA was prepared in agarose blocks (34). For the preparation of testis DNA, tissues were homogenized and passed through 70 $\mu$ M nylon mesh. PFGE conditions to separate in the 50-900 Kb range were 1% agarose, 180V, 70s switch time and 30 hours running time at 3.5°C. Hybridization probes were C $\lambda$ 2+3 and the left YAC arm probe (LA) comprising *LYS2* (29).

**Hybridoma production and ELISA assay.** Hybridomas were obtained from three months old Hulg $\lambda$ YAC/ $\kappa^{+/-}$  animals by fusion of splenocytes with NS0 myeloma cells (35). After fusion cells were plated on 96-well plates such as to obtain single clones. Human and mouse antibody production was determined in sandwich ELISA assays (36) on MaxiSorp plates (Nalge Nunc, Denmark). For the detection of human or mouse Ig $\lambda$ , coating reagents were a 1:500 dilution of anti-human  $\lambda$  light chain monoclonal antibody (mAB) HP-6054 (L 6522, Sigma, St.Louis, MO, USA) or a 1:500 dilution of the 2.3 mg/ml rat anti-mouse  $\lambda$  mAB (L 2280, Sigma), respectively. Respective binding was detected with biotinylated antibodies: polyclonal anti-human  $\lambda$  (B 0900, Sigma), a 1:1000 dilution of polyclonal anti-mouse  $\lambda$  (RPN 1178, Amersham Intl., Amersham, UK) or rat anti-mouse Ig $\lambda$  (# 021172D, Pharmingen, San Diego, USA) followed by streptavidin-conjugated horseradish peroxidase (Amersham). Mouse IgG2a $\lambda$  myeloma protein from HOPC1 (M 6034, Sigma) and human serum IgG $\lambda$  (I 4014, Sigma) were used

to standardise the assays. To determine mouse  $\kappa$  light chain levels, plates were coated with a 1:1000 dilution of rat anti-mouse  $\kappa$ , clone EM34.1 (K 2132, Sigma), and bound Ig was detected using biotinylated rat mAB anti-mouse Ig $\kappa$  (Cat. no. 04-6640, Zymed, San Francisco, USA). Mouse myeloma proteins IgG2a $\kappa$  and IgG1 $\kappa$  (UPC10, M 9144, and MOPC21, M 9269, Sigma) were used as standards. For detection of mouse IgM, plates were coated with polyclonal anti-mouse  $\mu$  (The Binding Site, Birmingham, UK) and bound Ig was detected with biotinylated goat anti-mouse  $\mu$  (RPN1176, Amersham) followed by streptavidin-conjugated horseradish peroxidase. Mouse plasmacytoma TEPC183, IgM $\kappa$ , (M 3795, Sigma) was used as a standard.

**Flow cytometry analysis.** Cell suspensions were obtained from bone marrow (BM), spleen and Peyer's patches (PPs). Multicolour staining was then carried out with the following reagents in combinations illustrated in figure 4: FITC-conjugated anti-human  $\lambda$  (F5266, Sigma), PE-conjugated anti-mouse c-kit (CD117) receptor (clone ACK45, cat. no. 09995B, Pharmingen, San Diego, USA), PE-conjugated anti-mouse CD25 (IL-2 receptor) (clone 3C7, P 3317, Sigma), biotin-conjugated anti-human  $\kappa$  (clone G20-193, cat. no. 08172D, Pharmingen), biotin-conjugated anti-mouse CD19 (clone 1D3, cat. no. 09654D, Pharmingen), followed by Streptavidin-Quantum Red (S2899, Sigma) or Streptavidin-PerCP (cat. no. 340130, Becton-Dickinson) and rat monoclonal anti-mouse  $\kappa$  light chain (clone MRC-OX-20, cat. MCA152, Serotec, Oxford, UK) coupled according to the manufacturer's recommendations with allophycocyanin (APC) (PJ25C, ProZyme, San Leandro, USA). Data were collected from  $1 \times 10^6$  stained cells on a FACScalibur flow cytometer (Becton Dickinson Immunocytometry Systems, Mountain View, CA, USA)

as described (32). Cells were first gated on forward and side scatter to exclude dead cells. To obtain accurate percentage distribution for comparison, cells from normal mice were stained in parallel. In addition, human peripheral blood lymphocytes were purified on Ficoll gradients (1.077g/ml) and stained with PE-conjugated anti-human CD19 antibody (P7437, clone SJ25-C1, Sigma); biotinylated anti-human κ followed by Streptavidin-Quantum Red and FITC-conjugated anti-human λ antibodies as above.

For RT-PCR cloning of Vλ genes, PPs cells were stained with FITC-conjugated peanut agglutinin (PNA) (L 7381, Sigma) and PE-conjugated anti-mouse B220 antibodies (P 3567, Sigma). Double-positive cells were sorted on the FACStar<sup>Plus</sup> flow cytometer (Becton Dickinson Immunocytometry Systems, Mountain View, CA, USA) as described (32) and  $5 \times 10^3$  cells were lysed in denaturing solution (37). 5'RACE was carried out as described below with one modification - 2μg carrier RNA was added to the cell lysates before RNA extraction and precipitation.

**Cloning and sequencing of 5'RACE products.** Spleen RNA was prepared as described (37) and for cDNA preparation 2-3 μg of RNA was ethanol precipitated and air-dried. For rapid amplification of 5' cDNA ends (5'RACE) (38) first strand cDNA was primed with oligo(dT)22 and 100 units of Super Script II reverse transcriptase (Gibco BRL, Gaithersburg, MD, USA) was used at 46°C according to manufacturer's instructions with 20 units of placental RNase inhibitor (Promega, Madison, WI, USA). The DNA/RNA duplex was passed through 1 ml G-50 equilibrated with TE (10mM Tris-HCl pH7.8, 1mM EDTA) in a hypodermic syringe to remove excess oligo(dT). For G-tailing 20 units of TdT (Cambio, Cambridge, UK) were used according to standard protocols (39). Double stranded (ds) cDNA was

obtained from G-tailed ss cDNA by addition of oligonucleotide Pr1 (see below), 100 µM dNTP and 2.5 units of Klenow fragment (Cambio) and incubation for 10 min at 40°C. After heating the reaction for 1 min at 94°C and extraction with phenol-chloroform the ds cDNA was passed through G-50 to remove primer Pr1. PCR amplifications, 35 cycles, were carried out in the RoboCycler Gradient 96 Thermal Cycler (Stratagene, LaJolla, CA, USA) using oligonucleotides Pr2 and Pr3. For PCR of PPs cDNA 50 cycles were used: 40 cycles in the first amplification and 10 cycles in additional amplifications. Pfu Thermostable Polymerase (Stratagene, LaJolla, CA, USA) was used instead of Taq polymerase to reduce PCR error rates. The amplification products were purified using a GENECLEAN II kit (BIO 101, Vista, CA, USA) and re-amplified for 5 cycles with primers Pr2 and Pr4 to allow cloning into Eco RI sites. Oligonucleotide for 5'RACE of V $\lambda$  genes were: Pr1 5'-AATTCTAAACTACAAACTG CCCCCCCC/T/G-3', Pr2 5'-AATTCTAAACTACAAACTGC-3' (sense), Pr3 -5'-CTCCCGGGTAGAAGTCAC-3' (reverse), Pr4 5'-AATTCGTGTGGCCTTGGCT-3' (reverse nested).

The protocol of A. Sudarikov (pers.comm.) was used to clone V $\lambda$  PCR products. PCR products of about 500bp were cut out from agarose gels and purified on GENECLEAN II. The DNA was incubated in 50 mM Tris-HCl, pH 7.4, 10 mM MgCl<sub>2</sub>, with 100 µM dGTP/dCTP and 1 unit of Klenow fragment for 10 min at RT. Under these conditions the Klenow fragment removes the 3' ends of the PCR products (AATT) leaving ligatable Eco RI overhangs. DNA was ligated with Eco RI restricted pUC19, transformed into competent *E.coli* XL1Blue and colonies were selected on X-Gal/IPTG/amp plates. Plasmid DNA prepared from white colonies was used for sequencing. Sequencing of both strands was done on the ABI 373 automated sequencer in the Babraham Institute Microchemical Facility.

## RESULTS

**The transgenic human Igλ locus.** The human Igλ translocus (Fig. 1) was assembled on a YAC by recombining one YAC containing about half of all Vλ gene segments with three overlapping cosmids containing Vλ and Jλ-Cλ gene segments and the 3' enhancer (29). This resulted in a 410 Kb YAC accommodating a 380 Kb region of the human λ light chain locus containing 15 Vλ genes regarded as functional, 3 Vλs with open reading frames not found to be expressed and 13 Vλ pseudogenes (40). This HulgλYAC was introduced into ES cells by protoplast fusion (30) and chimeric mice were produced by blastocyst injection (31). The ES cell clone used for this showed a 450 Kb NotI fragment corresponding to HulgλYAC, as identified by PFGE and Southern hybridization with the 3' probe, Cλ2+3, and the 5' probe, LA comprising LYS2, present in the left centromeric YAC arm (not shown). Germline transmission was obtained, and PFGE analysis of testis DNA from one animal is illustrated in fig. 2. A NotI fragment larger than 380 Kb is necessary to accommodate this region of the HulgλYAC, and the 450 Kb band obtained indicates random integration involving the single NotI site 3' of Jλ-Cλ and a NotI site in the mouse chromosome. Digests with EcoRI/HindIII and hybridization with the Cλ2+3 probe further confirmed the integrity of the transferred HulgλYAC (Fig. 2). The results indicated that one complete copy of the HulgλYAC was integrated in the mouse genome.

**Human Igλ expression is dominant in mouse κ<sup>-/-</sup> animals.** To assess the human λ light chain repertoire for the production of authentic human antibodies the HulgλYAC mice were bred with mice in which endogenous Igκ production was

silenced by gene targeting (32). In these  $\kappa^{-/-}$  mice, the mouse Ig $\lambda$  titers are elevated compared to  $\kappa^{+/+}$  strains (32, 41). Serum titrations (Fig. 3) showed that human Ig $\lambda$  antibody titers in Hulg $\lambda$ YAC/ $\kappa^{-/-}$  mice are between 1 and 2 mg/ml which is up to 10 fold higher than average mouse Ig $\lambda$  levels. Interestingly, the mouse Ig $\lambda$  levels remained low in the Hulg $\lambda$ YAC/ $\kappa^{-/-}$  mice, similar to the levels found in normal mice.

High levels of human Ig $\lambda^+$  cells were also identified in flow cytometric analysis of splenic B-cells from Hulg $\lambda$ YAC/ $\kappa^{-/-}$  mice (Fig. 4A) with human  $\lambda$  expressed on the surface of >80% of the B-cells whilst the number of mouse Ig $\lambda^+$  cells was always below 5% (data not shown).

**Human Ig $\lambda$  expression equals mouse Ig $\kappa$  production.** Assessment of human Ig $\lambda$  production in heterozygous Hulg $\lambda$ YAC $^{+/-}$  mice allowed a detailed comparison of expression and activation of endogenous versus transgenic light chain loci present at equal functional numbers. Serum analysis (Fig. 3) of mice capable of expressing both human  $\lambda$  and mouse  $\kappa$  showed similar titers for human and mouse light chains. Human Ig $\lambda$  levels in Hulg $\lambda$ YAC/ $\kappa^{+/+}$  transgenic mice were very similar to those in Hulg $\lambda$ YAC/ $\kappa^{+/-}$  mice. Total Ig levels in Hulg $\lambda$ YAC $^{+/-}$  mice were 1-2 mg/ml, with a typical contribution of about 51% mouse Ig $\kappa$ , 43% human Ig $\lambda$  and 6% mouse Ig $\lambda$ . However, a comparison of endogenous  $\kappa$  and human  $\lambda$  expression in individual sera from Hulg $\lambda$ YAC mice, and similarly from human volunteers, showed that  $\lambda/\kappa$  ratios can vary. For example, three of the

Hulg $\lambda$ YAC/ $\kappa^{+/-}$  mice produced somewhat higher  $\kappa$  levels whilst in two mice the human  $\lambda$  levels were higher than the Ig $\kappa$  titers. In Hulg $\lambda$ YAC/ $\kappa^{+/-}$  mice, similar high translocus expression was also found in B220 $^+$  B-cells from different tissues, for example 38% of spleen cells expressed human  $\lambda$  and 45% mouse  $\kappa$  (Fig. 4A). These values resemble very much the levels in human volunteers as illustrated in Fig. 4A with 34% Ig $\lambda^+$  versus 51% Ig $\kappa^+$  in CD19 $^+$  peripheral blood lymphocytes.

To assess whether the high contribution of the human  $\lambda$  translocus to the mature B cell repertoire is the result of selection at the mature B-cell stage, or alternatively from early translocus rearrangement, we examined light chain expression in bone marrow precursor B-cells. For this, the early B-cell markers c-*kit* and CD25 were used in four-colour stainings in combination with the B-cell lineage marker CD19 and human  $\lambda$  and mouse  $\kappa$  specific antibodies. Fig. 4B shows that human  $\lambda$  expression in Hulg $\lambda$ YAC/ $\kappa^{+/-}$  mice occurs at an earlier stage of development than mouse  $\kappa$  light chain expression. Human  $\lambda$  expression can be detected at the unusually early CD19 $^+$ /c-*kit* $^+$  pre B-I stage and is maintained in CD19 $^+$ /CD25 $^+$  pre B-II cells. However, at the later immature to mature B-cell stage (CD19 $^+$ /c-*kit* $^-$ /CD25 $^-$ ) the proportion of mouse Ig $\kappa^+$  cells is significantly increased. This suggests that human  $\lambda$  light chains can rearrange at an earlier stage than mouse Ig $\kappa$  but that upregulation at the mature B-cell stage balances any disadvantages in the timing of rearrangement.

**DNA rearrangement and diversification of a highly active human  $\lambda$  translocus.** In order to assess whether the translocus expression levels were a direct result of early rearrangement capacity we analysed individual hybridoma clones. Results from 2 fusions suggest that human  $\lambda$  and mouse  $\kappa$  light chain producing cells were present in the spleen of Hulg $\lambda$ YAC/ $\kappa^{-/-}$  mice at similar frequencies. Furthermore, the antibody expression rates of human  $\lambda$  (2-20  $\mu$ g/ml) or mouse  $\kappa$  (4-25  $\mu$ g/ml) producing hybridomas were similar. In order to assess if human Ig $\lambda$  rearrangement must precede mouse Ig $\kappa$  rearrangement or *vice versa*, endogenous and transgene rearrangements were analysed. Southern blot hybridization of randomly picked human Ig $\lambda$  or mouse Ig $\kappa$  expressing hybridoma clones showed the following: of 11 human Ig $\lambda$  expressers, 7 had the mouse  $\kappa$  locus in germline configuration, 1 clone had mouse Ig $\kappa$  rearranged and 3 clones had the mouse  $\kappa$  locus deleted; and of 11 mouse Ig $\kappa$  expressers, all had the human Ig $\lambda$  locus in germline configuration. The analysis of 8 more Ig $\kappa$  producers showed that in 2 the human Ig $\lambda$  locus was rearranged (data not shown). This result suggests that there is no locus activation bias and further emphasises that the human  $\lambda$  translocus performs with similar efficiency as the endogenous  $\kappa$  locus.

The capacity of the human  $\lambda$  locus to produce an antibody repertoire is further documented in the V gene usage. V-J rearrangement was determined from spleen cells and Peyer's patch cells by PCR amplification without introducing bias from specific V gene primers. The results shown in Fig. 1 illustrate that a substantial proportion of the V $\lambda$  genes on the translocus are being used, with V $\lambda$ 3-1 and V $\lambda$ 3-

10 being most frequently expressed. In DNA rearrangement, J $\lambda$ 2 and J $\lambda$ 3 were preferentially used and J $\lambda$ 1 rarely, and as expected J $\lambda$ 4, 5 and 6 were not utilised as they are adjacent to  $\psi$ Cs. Sequences obtained by RT-PCR from FACS-sorted germinal centre PNA $^+$ /B220 $^+$  Peyer's patches (Figure 5) revealed that somatic hypermutation is operative in Hulg $\lambda$ YAC mice (with somewhat more extensive changes in CDRs than in framework regions). Extensive variability due to N- or P-sequence additions, which is found in human but not mouse light chain sequences (25, 27, 28), was not observed.

## DISCUSSION

Efficient DNA rearrangement and high antibody expression levels are rarely achieved in transgenic mice carrying immunoglobulin regions in germline configuration on minigene constructs. Competition with the endogenous locus can be eliminated in Ig knock-out strains, where transgene expression is usually good (42). Poor transloci expression levels could be a result of the failure of human sequences in the mouse background, or alternatively the lack of locus specific control regions which are more likely to be included on larger transgenic regions (43, 44, 45). The latter is supported by our finding that Hulg $\lambda$ YAC mice express human Ig $\lambda$  and mouse Ig $\kappa$  at similar levels. The 410 Kb Hulg $\lambda$ YAC translocus accommodates V-gene region cluster A containing at least 15 functional V $\lambda$  genes (see Fig. 1). In man, cluster A is the main contributor to the  $\lambda$  antibody repertoire, with V $\lambda$  2-14 (2a2) expressed most frequently at 27% in blood lymphocytes (23). We also find expression of V $\lambda$  2-14 in the transgenic mice but the main contributors

to  $\lambda$  light chain usage were 3-1, the  $V\lambda$  gene most proximal to the C-J region, and 3-10, both of which are expressed at about 3% in man. Although the validity to draw conclusions about gene contribution is dependent on the numbers compared, from the 31 sequences obtained 11 were  $V\lambda$ 3-1 and 8 were  $V\lambda$ 3-10 which suggests that rearrangement or selection preferences are different in mouse and man. Sequence analysis revealed that there was very little further diversification by insertion of N or P nucleotides. In contrast, we found extensive somatic hypermutation of many rearranged human Ig $\lambda$  sequences, indicating that they are able to participate in normal immune responses. Indeed mutation levels in  $B220^+$ /PNA $^+$  PPs from Hulg $\lambda$  YAC translocus mice were similar to what has been reported for mouse light chains (46). In the mouse, unlike in humans, untemplated light chain diversification is essentially absent and it was believed that this is because deoxynucleotidyl transferase is no longer expressed at the stage of light chain rearrangement (28, 47). This concept has been challenged by the discovery that mouse light chain rearrangement can occur at the same time as  $V_H$  to  $DJ_H$  rearrangements (48). Indeed our results also show light chain rearrangement at the pre B-I stage, with a substantial percentage of  $CD19^+$  cells expressing human  $\lambda$  (see Fig. 4). Although the human  $\lambda$  translocus appears to be earlier activated than the  $\kappa$  locus in the mouse, rearranged human  $\lambda$  light chains did not accumulate much N region diversity as found in human peripheral B-cells (27).

In the different species, the ratio of  $\lambda$  and  $\kappa$  light chain expression varies considerably (1-3, 49, 50) and in the mouse the low  $\lambda$  light chain levels are believed to be a result of inefficient activation of the mouse  $\lambda$  locus during B-cell

differentiation (reviewed in 6). The Ig $\lambda$  (~40%) and Ig $\kappa$  (~60%) ratio in humans is more balanced and suggests that both  $\lambda$  and  $\kappa$  play an equally important role in immune responses. This notion is supported by the finding that the mouse V $\lambda$  genes are most similar to the less frequently used distal human V $\lambda$  gene families, while no genes comparable to the major contributors to the human V $\lambda$  repertoire are present in mice (40). With the Hulg $\lambda$  YAC, these V $\lambda$  genes are available, and are able to make a significant contribution to the antibody repertoire, and the bias towards V $\kappa$  gene utilisation is removed.

Comparison of size and complexity of light chain loci between different species suggests that larger loci with many more V genes may contribute much more efficiently to the antibody repertoire (6, 51). Recently we addressed this question in transgenic mice by the introduction of different size human  $\kappa$  light chain loci (45). The result showed that the size of the V gene cluster and the V gene numbers present are not relevant to achieving high translocus expression levels. It is possible, however, that a presently undefined region with cis-controlled regulatory sequences may be crucial in determining expressibility and subsequently light chain choice. That the Hulg $\lambda$ YAC $^{+/-}$  mice do not exhibit a bias in the selection of light chain locus for expression is shown by the absence of rearrangement of the non-expressed locus in hybridoma cells. This supports the model that  $\lambda$  and  $\kappa$  rearrangements are indeed independent (52) and that poor Ig $\lambda$  expression levels in mice may be the result of an inefficient recombination signal (53). A possible signal that initiates light chain recombination has been identified in gene targeting experiments where the 3'  $\kappa$  enhancer has been deleted (19). The  $\kappa:\lambda$  ratio was

essentially equal in mice where the 3'Ex had been deleted or replaced by neo (down to 1:1 and not 20:1 as in normal mice). In addition, the  $\kappa$  locus was largely in germline configuration in  $\lambda$  expressing cells, a result we also see in the Hulg $\lambda$ YAC $^+/\kappa^{+/-}$  mice. Taken together, the results suggest that strength and ability of the human 3'  $\lambda$  enhancer to function in the mouse background may be the reason that human  $\lambda$  and mouse  $\kappa$  levels are similar in Hulg $\lambda$ YAC $^+/\kappa^{+/-}$  mice and that  $\lambda$  and  $\kappa$  light chain 3' enhancers compete at the pre B-cell stage to initiate light chain rearrangement.

## References

1. Hood, L., Gray, W. R., Sanders, B. G. and Dreyer, W. Y. (1967) *Cold Spring Harbour Symp. Quant. Biol.* **32**, 133-146.
2. McIntire, K. R. and Rouse, A. M. (1970) *Fed. Proc.* **19**, 704.
3. Arun, S. S., Breuer, W. and Hermanns, W. (1996) *Zentralbl. Veterinarmed. A.* **43**, 573-576.
4. Hieter, P. A., Korsmeyer, S. J., Waldmann, T. A and Leder, P. (1981) *Nature (London)* **290**, 368-372.
5. Coleclough, C., Perry, R. P., Karjalainen, K. and Weigert, M. (1981) *Nature (London)* **290**, 372-378.
6. Selsing, E. and Daitch, L. E. (1995) Immunoglobulin  $\lambda$  genes. In *Immunoglobulin Genes*, Second Edition. eds. T. Honjo and F.W. Alt, Rabbitts. Academic Press, 193-203.
7. Berg, J., McDowell, M., Jäck, H. M. and Wabl, M. (1990) *Dev. Immunol.* **1**, 53-57.
8. Abken, H. and Bützler, C. (1991) *Immunol.* **74**, 709-713.
9. Takemori, T. and Rajewsky, K. ( 1981) *Eur. J. Immunol.* **11**, 618-625.
10. McGuire, K. L. and Vitetta, E. S. (1981) *J. Immunol.* **127**, 1670-1673.

11. Kessler, S., Kim, K. J. and Scher, I. (1981) *J. Immunol.* **127**, 1674-1678.
12. Lejeune, J. M., Briles, D. E., Lawton, A. R. and Kearney, J. F. (1982) *J. Immunol.* **129**, 673-677.
13. Rolink, A., Streb, M. and Melchers, F. (1991) *Eur. J. Immunol.* **21**, 2895-2898.
14. Osmond, D. J., Rolink, A. and Melchers, F. (1998) *Immunol. Today* **19**, 65-68.
15. Zou, Y. R., Takeda, S. and Rajewsky, K. (1993) *EMBO J.* **12**, 811-820.
16. Arakawa, H., Shimizu, T. and Takeda, S. (1996) *Int. Immunol.* **8**, 91-99.
17. Glazak, M. and Blomberg, B. B. (1996) *Mol. Immunol.* **33**, 427-438.
18. Asenbauer, H. and Klobbeck, H. G. (1996) *Eur. J. Immunol.* **26**, 142-150.
19. Gorman, J. R., van der Stoep, N., Monroe, R., Cogne, M., Davidson, L. and Alt, F. W. (1996) *Immunity* **5**, 241-252.
20. Frippiat, J.-P., Williams, S. C., Tomlinson, I. M., Cook, G. P., Cherif, D., Le Paslier, D., Collins, J. E., Dunham, I., Winter, G. and Lefranc, M.-P. (1995) *Hum. Mol. Genet.* **4**, 983-991.
21. Kawasaki, K., Minoshima, S., Nakato, E., Shibuya, K., Shintani, A., Schmeits, J. L., Wang, J. and Shimizu, N. (1997) *Genome Res.* **7**, 260-261.

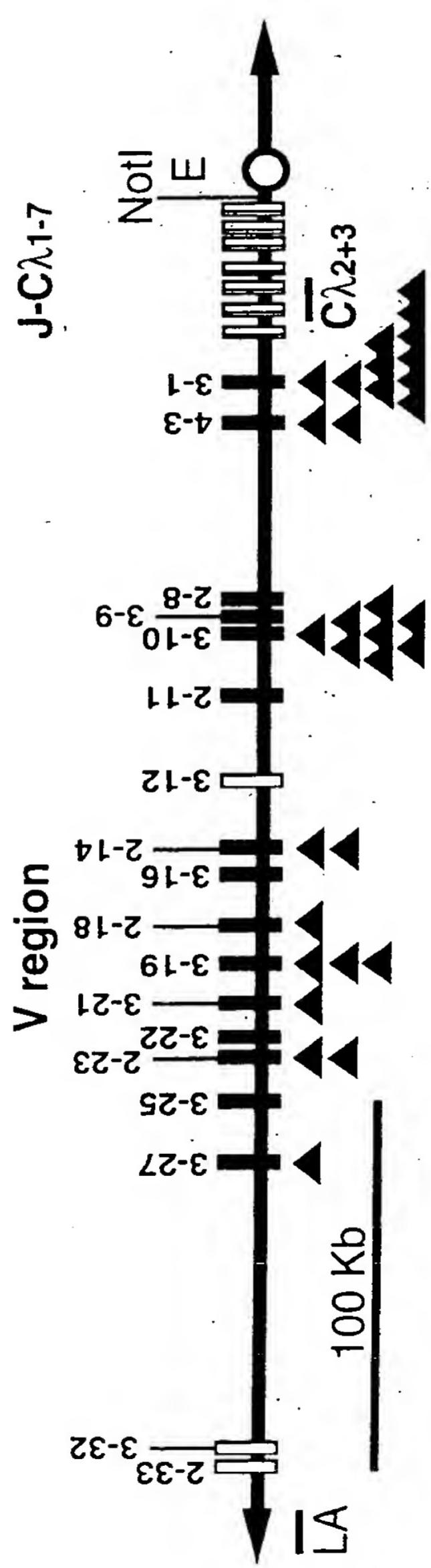
22. Giudicelli, V., Chaume, D., Bodmer, J., Muller, W., Busin, C., Marsh, S., Bontrop, R., Marc, L., Malik, A. and Lefranc, M.-P. (1997) *Nucl. Acids Res.* **25**, 206-211.
23. Ignatovich, O., Tomlinson, I. M., Jones, P. T. and Winter, G. (1997) *J. Mol. Biol.* **268**, 69-77.
24. Combriato, G. and Klobeck, H.-G. (1991) *Eur. J. Immunol.* **21**, 1513-1522.
25. Foster, S. J., Brezinschek, H.-P., Brezinschek, R. I. and Lipsky, P. E. (1997) *J. Clin. Invest.* **99**, 1614-1627.
26. Ignatovich, O. (1998) The creation of diversity in the human immunoglobulin V $\lambda$  repertoire. PhD thesis, University of Cambridge.
27. Bridges, S. L., Lee, S. K., Johnson, M. L., Lavelle, J. C., Fowler, P. G., Koopman, W. J. and Schroeder, H. W. (1995) *J. Clin. Invest.* **96**, 831-841.
28. Victor, K. D., Vu, K. and Feeney, A. J. (1994) *J. Immunol.* **152**, 3467-3475.
29. Popov, A. V., Bützler, C., Fripiat, J.-P., Lefranc, M.-P., Brüggemann, M. (1996) *Gene* **177**, 195-201.
30. Davies, N. P., Popov, A. V., Zou, X. and Brüggemann, M. (1996) Human antibody repertoires in transgenic mice: Manipulation and transfer of YACs. *Antibody Engineering: A Practical Approach*, eds. J. McCafferty, H.R. Hoogenboom and D.J. Chiswell, IRL, Oxford, 59-76.

31. Hogan, B., Beddington, R., Costantini, F. and Lacy E. (1994) Manipulating the Mouse Embryo: A Laboratory Manual. *Cold Spring Harbor Laboratory Press*
32. Zou, X., Xian, J., Popov, A. V., Rosewell, I. R., Müller, M. and Brüggemann, M. (1995) *Eur. J. Immunol.* **25**, 2154-2162.
33. Wurst, W. and Joyner, A. L. Production of targeted embryonic stem cell DNA. In: *Gene targeting* ed. A. L. Joyner. IRL Press, Oxford, 1993, 33-61.
34. Herrmann, B. G., Barlow, D. P. and Lehrach H. (1987) *Cell* **48**, 813-825.
35. Galfré, G. and Milstein, C. (1981) *Methods Enzymol.* **73**, 3-46.
36. Tijssen, P. Practice and theory of enzyme immunoassays. (1985) *Laboratory techniques in biochemistry and molecular biology*. Vol. 15. Burdon, R. H. and Knippenberg, P.H. Elsevier.
37. Chomczynski, P. and Sacchi, N. (1987) *Anal. Biochem.* **162**, 156-159.
38. Frohman, M. A., Dush, M. K. and Martin, G. R. (1988) *Proc. Natl. Acad. Sci. USA* **85**, 8998-9002.
39. *Current protocols in molecular biology* (1995) eds. Ausubel, F. M., Brent, R., Kingston, R. E., Moore, D. D., Seidman, J. G., Struhl, K., Smith, J. A. Massachusetts General Hospital, Boston, MA; Harvard Medical School, Boston, MA; University of Alabama, Birmingham, AL; Wiley & Sons, USA.

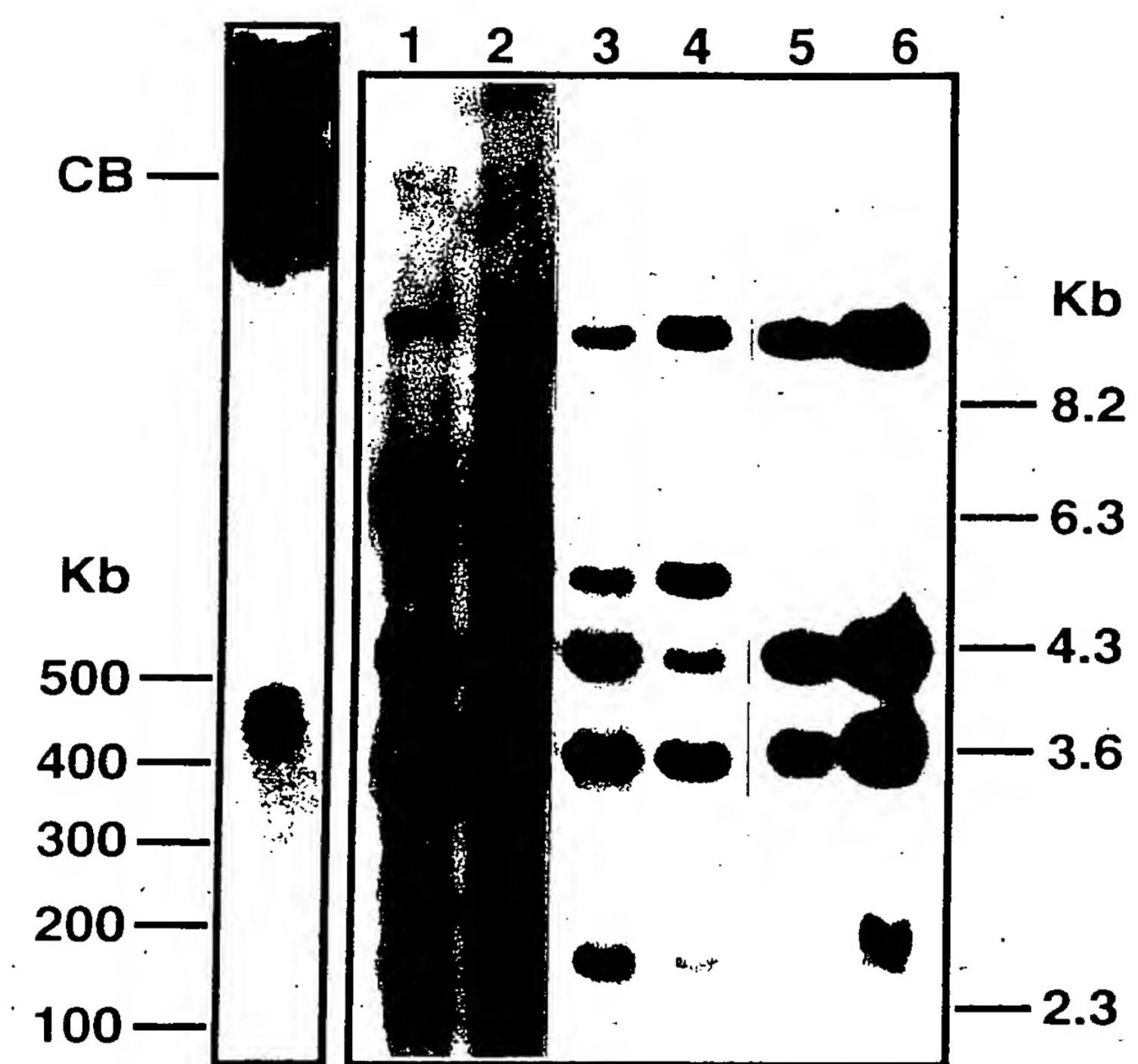
40. Williams, S. C., Fripiat, J.-P., Tomlinson, I. M., Ignatovich, O., Lefranc, M.-P. and Winter, G. (1996) *J. Mol. Biol.* **264**, 220-232.
41. Chen, J., Trounstine, M., Kurahara, C., Young, F., Kuo, C.-C., Xu, Y., Loring, J. F., Alt, F. W. and Huszar, D. (1997) *EMBO J.* **12**, 821-830.
42. Brüggemann, M. and Neuberger, M. S. (1996) *Immunol. Today* **17**, 391-397.
43. Green, L. L. and Jakobovits, A. (1998) *J. Exptl. Med.* **188**, 483-495.
44. Zou, X., Xian, J., Davies, N. P., Popov, A. V. and Brüggemann, M. (1996) *FASEB J.*, 10, 1227-1232.
45. Xian, J., Zou, X., Popov, A. V., Mundt, C. A., Miller, N., Williams, G. T., Davies, S. L., Neuberger, M. S. and Brüggemann, M. (1998) *Transgenics*, 2, 333-343.
46. Gonzalez-Fernandez, A., Gupta, S. K., Pannell, R., Neuberger, M. S. and Milstein, C. (1994) *Proc. Natl. Acad. Sci. USA* **91**, 12614-12618.
47. Li, Y.-S., Hayakawa, K. and Hardy, R. R. (1993) *J. Exp. Med.* **178**, 951-960.
48. Hardy, R. R., Carmack, C. E., Shinton, S. A., Kemp, J. D. and Hayakawa, K. (1991) *J. Exp. Med.* **173**, 1213-.
49. Saitta, M., Iavarone A., Cappello, N., Bergami, M. R., Fiorucci, G. C. and Aguzzi, F. (1992) *Clin Chem.* **38**, 2454-2457.

50. Hood, L., Gray, W. R. and Dreyer, W. Y. (1966) *Proc. Natl. Acad. Sci. USA* **55**, 826-835.
51. Lansford, R., Okada, A., Chen, J., Oltz, E. M., Blackwell, T. K., Alt, F. W. and Rathburn, G. (1996) Mechanisms and control of immunoglobulin gene rearrangement. In *Molecular Immunology*, B. D. Hames and D. M. Glover, eds., IRL Press, 1-100.
52. Nadel, B., Cazenave, P.-A. and Sanchez, P. (1990) *EMBO J.* **9**, 435-440.
53. Arakawa, H., Shimizu, T. and Takeda, S. (1996) *Int. Immunol.* **8**, 91-99.
54. Giudicelli, V., Chaume, D., Bodmer, J., Muller, W., Busin, C., Marsh, S., Bontrop, R., Marc, L., Malik, A. and Lefranc, M.-P. (1997) *Nucl. Acids Res.* **25**, 206-211.
55. Eagle, H. (1955) *Proc. Soc. Exptl. Biol. Med.* **89**, 362-364.
56. Taub, R. A., Hollis, G. F., Hieter, P. A., Korsmeyer, S., Waldmann, T. A. and Leder, P. (1983) *Nature (London)* **304**, 172-174.

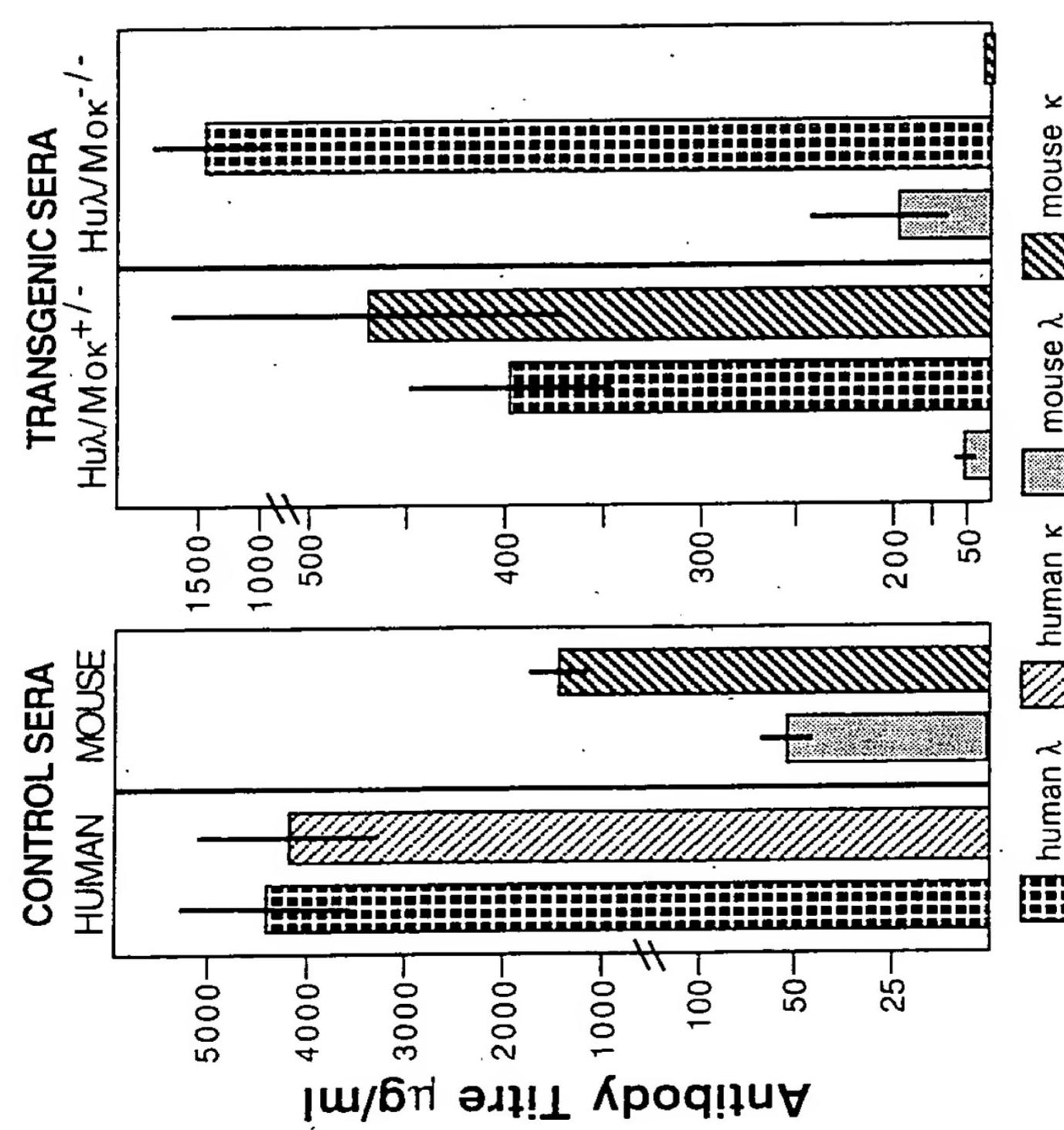
**THIS PAGE BLANK (USPTO)**



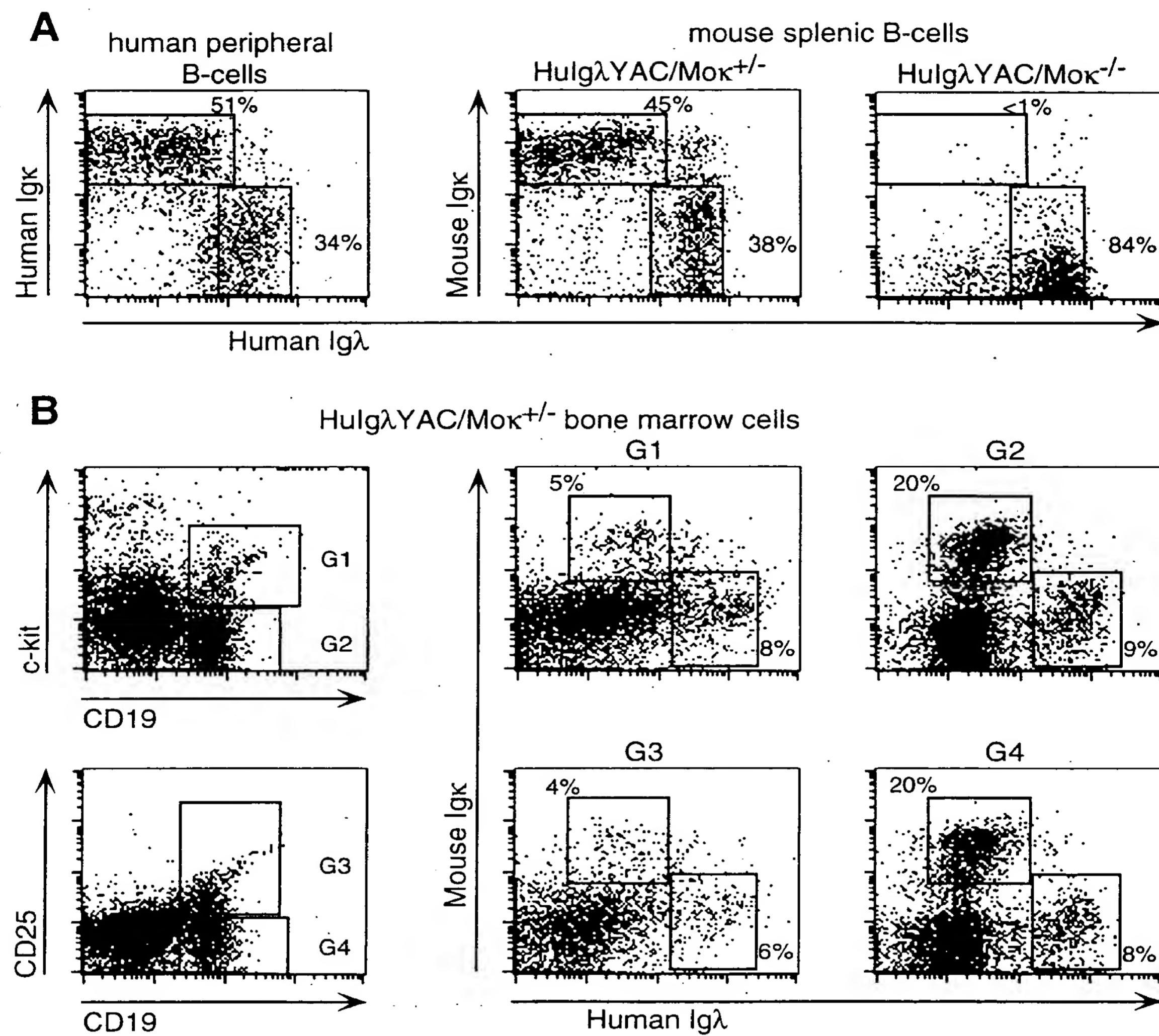
**THIS PAGE BLANK (USPTO)**



**THIS PAGE BLANK (USPTO)**



**THIS PAGE BLANK (USPTO)**



BEST AVAILABLE COPY

114

**BEST AVAILABLE COPY**

**THIS PAGE BLANK (USPTO)**

**BEST AVAILABLE COPY**

**BEST AVAILABLE COPY**

**THIS PAGE BLANK (USPTO)**